Purpose of Meeting

• Reach out to minority, women’s and economic development agencies to discuss opportunities for Disadvantaged Business Enterprises (DBEs)
• Discuss the process to become a certified-DBE
• Address issues that contractors face with the DBE certification process
• Present the proposed DBE Goals for Lafayette Consolidation Government for Federal Fiscal Years 2020-2022
• Discuss the proposed construction projects /contracts that are planned at LCG during this period
• Discuss DBE Goal Setting Process
LCG’s Market Area

Source: One Acadiana
History of DBE Program

• In 1999, the U.S. Department of Transportation mandated that each state develop a Unified Certification Program.

• In 2003, the Louisiana Unified Certification Program (LAUCP) was implemented and is now in full operation.
Louisiana Unified Certification Program (LAUCP)

• U.S. Department of Transportation recipients include:
  – Federal Highway Administration (FHWA)
  – Federal Aviation Administration (FAA)
  – Federal Transit Administration (FTA)
Certifying Agencies in Louisiana

• Louisiana Department of Transportation & Development (LADOTD)
• Louis Armstrong International Airport (New Orleans)
• New Orleans Regional Transit Authority
• Orleans Levee District
DBE Eligibility Requirements

• Firms must be at least 51% owned and controlled by socially and economically disadvantaged individuals.

• Presumptive Groups:
  – Women
  – African Americans
  – Hispanic Americans
  – Native Americans
  – Asian Pacific Americans
  – Subcontinent Asian Americans
DBE Eligibility Requirements

• Personal Net Worth
  – Cannot exceed $1.32 million. This was increased in 2011.
  – Excludes your primary residence.
  – Excludes ownership interest in the applicant firm.
  – Only half of community property will be counted if you live in a community property state (which Louisiana is).
DBE Eligibility Requirements

• Business Size
  – To be an eligible DBE, a firm (including its affiliates) must not exceed the business size standards as defined by the Small Business Administration (SBA).
  
  – A firm that does general contracting is not an eligible DBE if the firm (including its affiliates) has average annual gross receipts in excess of $22.4 million.
DBE Certification Process

Application
Firms Eligibility Based on:
• Size
• Social Disadvantage
• Ownership
• Control Requirements

Desk Audit
Documents Reviewed
• PNW Statement
• Business Finance Statements
• Business Tax Returns
• Owner’s Personal Tax Returns
• Resumes
• Work History
• Equipment
• Financial Capability
DBE Certification Process

On-Site & Review
• Site Visit & Principal Interview

Verification of Eligibility
• If Approved Business Shall be Added to LAUCP’s List
• If not, Principal will be Notified with Reasoning
DBE Certification FAQ/Issues

- The certification process takes approximately 5-6 weeks.
- There is no “expiration” date. A firm is certified as long as they meet the eligibility requirements and submit a No Change Affidavit annually.
- Firms located in New Orleans and surrounding areas may apply to any of the 4 certifying agencies. If located anywhere else in the state they must apply to DOTD.
- DBE firms are certified in specific North American Industry Classification Codes (NAICS) and DOTD work types.
- You can narrow your search of the UCP DBE Directory by these specific codes at this link: [http://www8.dotd.la.gov/UCP/UCPSearch.aspx](http://www8.dotd.la.gov/UCP/UCPSearch.aspx).
- To assist in setting DBE goals, the UCP Directory may be “Exported to Excel”.


FY 2020 - 2022 Anticipated DOT Assisted Projects

DBE Goal: 0.0%

Ground Maintenance - $18,000
Non Fixed Route Para-transit ADA - $2,100,000

Federal Allocation: $1,689,000
Expected DBE Apportionment: $0
DBE Goal Setting Process

• STEP 1: Identify relative availability of DBE-certified contractors, vendors & consultants

Step 1 Base Figure = Ready, willing and able DBEs
All Firms ready, willing and able
(including DBEs and non-DBEs)

0.76% = \frac{1}{132}
DBE Goal Setting Process

• STEP 2: Adjust the goal based on past participation of DBEs and available studies and other information

  Median of Past Participation
  
  2017 – 0.00%
  2018 – 0.00%
  2019 – 0.00%

  \[(0.76 + 0.00)/2 = 0.38\% \text{ or } 0.0\%\]
DBE Program Contacts

Federal Transit Administration
Office of Civil Rights, Region VI
Fritz Lanham Federal Building
819 Taylor Street, Room 8A36
Fort Worth, TX 76102

LADOTD
DBE/SBE Program Manager
DOTD, Room 305-P
P.O. Box 94245
Baton Rouge, LA 70804

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Conclusion

• Questions?

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